

Professional and Contracting Services Application

Instructions:

- This form must be dated and signed by a principal of your Company.
- Answer all questions completely. If any questions do not apply, please state N/A in the space provided.
- Please provide supporting information on a separate sheet and reference the applicable question number. Any supporting information is considered part of this application and is subject to the same terms and conditions.

Required Attachments:

- Please provide copies of your last two (2) years of financial statements and/or 10K reports.
- Please provide copies of your last five (5) years of Environmental/Professional loss history. If you have no prior environmental/professional coverage please provide your last five (5) years General Liability loss history.

NOTICE: The coverage applied for is solely as stated in the Policy and any endorsement(s) attached thereto.

General Information

- Name of Applicant _____
Principal Contact _____
E-mail Address _____
Mailing Address _____
Years in Business _____
- Desired effective date of coverage _____
- Desired length of policy term _____
- Retroactive Date and/or Reverse Retroactive Date (*please specify*) on current policy _____
- Desired Limits of Liability and Retention Amount:
Each Loss Limit \$ _____
Aggregate Limit \$ _____
Retention Amount \$ _____
- Current Premium \$ _____
- Please provide the Insured's total annual contracting revenues for the following:
\$ _____ for the prior calendar year \$ _____ estimated for the current calendar year
- Please provide the estimated percentage of your Company's total revenues derived from the following types of projects:

Category	Percentage	Category	Percentage
Apartments/Condominiums (other than wood-frame construction)	_____	Apartments/Condominiums (wood-frame construction)	_____
Hotels/Motels (other than wood-frame construction)	_____	Hotels/Motels (wood-frame construction)	_____
Civil/Highway (other than roadwork/excavation/grading)	_____	College/Universities	_____
Commercial Retail	_____	Commercial Office	_____
Energy	_____	Hospitals/Healthcare	_____
Industrial/Manufacturing	_____	Landfills	_____
Parking Structures	_____	Paving – Street & Road	_____
Pipeline – Oil & Natural Gas	_____	Primary Education	_____
Single Family Homes	_____	Stadium & Arena	_____
Utility – Sewer & Water	_____	Water/Waste Treatment	_____
Other (<i>please describe</i>)	_____		

General Information *Continued*

9. Professional & Contracting Services – Please provide information associated with the following Professional & Contracting Services for the twelve (12) months following the desired inception date for coverage:

Contracting Services	Projected Revenue	% Performed In-House
Asbestos, Lead or Mold Abatement		
Civil/Highway		
Demolition		
Dredging		
Drilling		
Drilling (<i>non Environmental</i>)		
Drywall		
Electrical		
Environmental Contracting		
Excavating/Grading		
General Contractor		
Glazier		
Highway/Bridge		
HVAC		
Industrial Cleaning/Maintenance		
Marine (<i>no dredging</i>)		
Masonry/Concrete		
Mechanical (<i>non-HVAC</i>)		
Painting		
Paving – Road/Street		
Pipeline		
Pipeline - Oil/Gas		
Plumbing		
Roofers/Siding		
Steel Erection		
Utility - Sewer/Water		
Other (<i>please describe</i>)		
Professional Services	Projected Revenue	% Performed In-House
Engineering or Design		
Construction Consulting Services		
Environmental Consulting		
TOTAL:		

10. Project delivery:

Category	Percentage	Category	Percentage
Construction only (<i>responsibilities do not include professional</i>)		Construction Management At Risk	
Design-Build with design subcontracted		Construction Management Agency (Total construction values of projects \$_____)	
Design-Build with design performed in-house		Other (<i>please describe</i>) _____	

General Information *Continued*

Yes

No

11. Details of proposed covered location(s): *(attach additional pages if necessary)*

Location	Street Address/City/State/ Zip Code	Description of Operations at this location
1.		
2.		
3.		

12. Are any operations performed outside of the United States or Canada?

☐☐

If yes, please identify the countries and describe the type of work and associated revenues:

13. Do you always require subcontractors to carry pollution coverage?

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What is the minimum Pollution limit that you require from subcontracted contracting firms:

Do you obtain evidence of such coverage prior to engaging their services?

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14. Does your current policy provide any project specific excess coverage for any projects?

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If yes, please describe:

15. Do you always require subcontracted design firms to carry Professional coverage?

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If no, please describe in detail when the design firm would not be required to carry Professional coverage:

What is the minimum Professional limit that you require from subcontracted contracting firms:

Do you obtain evidence of such coverage prior to engaging their services?

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16. Have you ever had a pollution incident or are you aware of contamination at any site your Company owns or leases?

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If yes, please provide additional detail regarding the pollution conditions.

17. Within the last five (5) years has the applicant purchased this type of insurance coverage?

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If yes, please provide the information regarding any such coverage and all available loss information.

18. Within the last five (5) years have any claims been made or legal actions *(including regulatory actions)* been brought against any prospective Insureds?☐☐

19. Within the last five (5) years have any of the prospective Insureds been involved in any pollution incidents?

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20. Do the prospective Insureds have any knowledge of damage or injury to property, the environment or people during the last five (5) years that was or may in any way have been attributable to them?

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21. At the time of signing this application, are the prospective Insureds aware of any circumstances that may reasonably be expected to give rise to a claim against any insured or otherwise generate a request for coverage under this Policy?

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If the answer to question 18., 19., 20., or 21., above was yes, please provide a description of the circumstance or claim *(detail the actual or alleged incident, location, date, type of injury and/or damage, etc.)*. In addition, provide information as to what actions have been taken by the proposed insured(s) to mitigate or avoid a similar loss from occurring again.

Warranty, Authorized Signature and Continuing Duty To Update

The undersigned is an authorized representative of the prospective First Named Insured, and acknowledges that the information provided with the Application, including all supplements, attachments and replies to underwriter inquiries, and applications from other insurance companies which have been submitted to Great American Insurance Company and its affiliates and made a part of this application:

1. Will be relied upon by Great American Insurance Company and its affiliates in determining the acceptability of the prospective Insureds and the premium amount to be charged;
2. Are true, accurate and complete; and
3. Will be considered an integral part of any resultant insurance contract.

The undersigned further agrees that the prospective Named Insured(s) has a continuing duty, through date of policy inception, to update this Application, including all supplements, attachments and replies to underwriter inquiries.

Completion of this application does not bind coverage. The applicant's acceptance of the Company's quotation is required before the applicant may be bound and a policy issued.

Signature of Authorized Applicant _____

Print Name _____ **Date** _____

Title _____

Signature of Authorized Applicant _____

Print Name _____ **Date** _____

Signed by Licensed Resident Agent _____

(Where Required By Law)

This Statement is provided to you with the insurance application or claim form that you are filing. READ the applicable Fraud Warning Statement for the state in which your claim or application is being made before executing and submitting the attached document to the Insurer or your agent.

Insurance Fraud Warning Statement

ALABAMA §27-12A-20	At least one of the following forms: Claim release forms, applications, reinstatements for insurance, participation agreements, declaration pages, and claim documents, regardless of the method or form of transmission: Any person who knowingly presents a false or fraudulent claim for payment of a loss or benefit or who knowingly presents false information in an application for insurance is guilty of a crime and may be subject to restitution fines or confinement in prison, or any combination thereof.
ALASKA §21.36.380	All insurance claim forms: A person who knowingly and with intent to injure, defraud, or deceive an insurance company files a claim containing false, incomplete, or misleading information may be prosecuted under state law.
ARIZONA §20-466.03	All insurance claim forms: For your protection, Arizona law requires the following statement to appear on this form. Any person who knowingly presents a false or fraudulent claim for payment of a loss is subject to criminal and civil penalties.
ARKANSAS §23-66-503	Claim forms, proofs of loss, or any similar documents, however designated, seeking payment or benefit pursuant to an insurance policy, and applications for insurance, regardless of the form of transmission: Any person who knowingly presents a false or fraudulent claim for payment of a loss or benefit or knowingly presents false information in an application for insurance is guilty of a crime and may be subject to fines and confinement in prison.
CALIFORNIA §1871.2 §1879.2	All insurance applications, all forms upon which an insured can make changes to an existing policy, and all claim forms: For your protection, California law requires the following to appear on this form: Any person who knowingly presents false or fraudulent information to obtain or amend insurance coverage or to make a claim for payment of a loss is guilty of a crime and may be subject to fines and confinement in state prison.
COLORADO §10-1-128	All insurance applications, or all policy forms, or all claim forms: It is unlawful to knowingly provide false, incomplete, or misleading facts or information to an insurance company for the purpose of defrauding or attempting to defraud the company. Penalties may include imprisonment, fines, denial of insurance, and civil damages. Any insurance company or agent of an insurance company who knowingly provides false, incomplete, or misleading facts or information to a policyholder or claimant for the purpose of defrauding or attempting to defraud the policyholder or claimant with regard to a settlement or award payable from insurance proceeds shall be reported to the Colorado Division of Insurance within the Department of Regulatory Agencies.
DELAWARE 11 §913	All insurance claim forms: Any person who knowingly, and with intent to injure, defraud or deceive any insurer, files a statement of claim containing any false, incomplete or misleading information is guilty of a felony.
DISTRICT OF COLUMBIA §22-3225.09	All insurance applications and claim forms: WARNING: It is a crime to provide false or misleading information to an insurer for the purpose of defrauding the insurer or any other person. Penalties include imprisonment and/or fines. In addition, an insurer may deny insurance benefits if false information materially related to a claim was provided by the applicant.
FLORIDA §817.234	All insurance applications and claim forms: Any person who knowingly and with intent to injure, defraud, or deceive any insurer files a statement of claim or an application containing any false, incomplete, or misleading information is guilty of a felony of the third degree.
IDAHO §41-1331	All insurance claim forms: Any person who knowingly, and with intent to defraud or deceive any insurance company, files a statement containing any false, incomplete, or misleading information is guilty of a felony.
INDIANA §27-2-16-3	All insurance claim forms: A person who knowingly and with intent to defraud an insurer files a statement of claim containing any false, incomplete, or misleading information commits a felony.
KENTUCKY §304.47-030	All insurance claim forms: Any person who knowingly and with intent to defraud any insurance company or other person files a statement of claim containing materially false information or conceals, for the purpose of misleading, information concerning any fact material thereto commits a fraudulent insurance act, which is a crime. All insurance application forms: Any person who knowingly and with intent to defraud any insurance company or other person files an application for insurance containing any materially false information or conceals, for the purpose of misleading, information concerning any fact material thereto commits a fraudulent insurance act, which is a crime.
LOUISIANA §40:1424	All insurance applications and claim forms: Any person who knowingly presents a false or fraudulent claim for payment of a loss or benefit or knowingly presents false information in an application for insurance is guilty of a crime and may be subject to fines and confinement in prison.
MAINE 24-A §2186(3)(A)	All insurance applications and claim forms: It is a crime to knowingly provide false, incomplete or misleading information to an insurance company for the purpose of defrauding the company. Penalties may include imprisonment, fines or a denial of insurance benefits.
MARYLAND §27-805	All insurance applications and claim forms: Any person who knowingly or willfully presents a false or fraudulent claim for payment of a loss or benefit or who knowingly or willfully presents false information in an application for insurance is guilty of a crime and may be subject to fines and confinement in prison.
MINNESOTA §60A.955	All insurance claim forms: A person who files a claim with intent to defraud or helps commit a fraud against an insurer is guilty of a crime.

Insurance Fraud Warning Statement *Continued*

NEW HAMPSHIRE §402:82	All insurance claim forms: Any person who, with a purpose to injure, defraud or deceive any insurance company, files a statement of claim containing any false, incomplete or misleading information is subject to prosecution and punishment for insurance fraud, as provided in RSA638:20.
NEW JERSEY §17:33A-6	All insurance claim forms: Any person who knowingly files a statement of claim containing any false or misleading information is subject to criminal and civil penalties.
NJAC 11:16-1.2	All insurance application forms: Any person who includes any false or misleading information on an application for an insurance policy is subject to criminal and civil penalties.
NEW MEXICO §59A-16C-8	All insurance applications and claim forms: Any person who knowingly presents a false or fraudulent claim for payment of a loss or benefit or knowingly presents false information in an application for insurance is guilty of a crime and may be subject to civil fines and criminal penalties.
NEW YORK §403(d) 11 NYCRR §86.4	All insurance applications and claim forms except auto: Any person who knowingly and with intent to defraud any insurance company or other person files an application for insurance or statement of claim containing any materially false information, or conceals for the purpose of misleading, information concerning any fact material thereto, commits a fraudulent insurance act, which is a crime, and shall also be subject to a civil penalty not to exceed five thousand dollars and the stated value of the claim for each such violation.
OHIO §3999.21	All insurance applications and claim forms: Any person who, with intent to defraud or knowing that he is facilitating a fraud against an insurer, submits an application or files a claim containing a false or deceptive statement is guilty of insurance fraud.
OKLAHOMA §3613.1	All insurance applications, policy and claim forms: WARNING: Any person who knowingly, and with intent to injure, defraud or deceive any insurer, makes any claim for the proceeds of an insurance policy containing any false, incomplete or misleading information is guilty of a felony.
PENNSYLVANIA 18 Pa.C.S. § 4117	All insurance applications and claim forms: Any person who knowingly and with intent to defraud any insurance company or other person files an application for insurance or statement of claim containing any materially false information or conceals for the purpose of misleading, information concerning any fact material thereto commits a fraudulent insurance act, which is a crime and subjects such person to criminal and civil penalties.
RHODE ISLAND §27-29-13.3 §27-54.1-3	All insurance applications and claims forms: Any person who knowingly presents a false or fraudulent claim for payment of a loss or benefit or knowingly presents false information in an application for insurance is guilty of a crime and may be subject to fines and confinement in prison.
TENNESSEE §56-53-111	All insurance applications and claim forms: It is a crime to knowingly provide false, incomplete or misleading information to an insurance company for the purpose of defrauding the company. Penalties include imprisonment, fines and denial of insurance benefits.
TEXAS §704.002(a)	All insurance claim forms: Any person who knowingly presents a false or fraudulent claim for the payment of a loss is guilty of a crime and may be subject to fines and confinement in state prison.
VIRGINIA §52-40	All insurance applications and claim forms: It is a crime to knowingly provide false, incomplete or misleading information to an insurance company for the purpose of defrauding the company. Penalties include imprisonment, fines and denial of insurance benefits.
WASHINGTON §48.135.080	All insurance applications and claim forms: It is a crime to knowingly provide false, incomplete or misleading information to an insurance company for the purpose of defrauding the company. Penalties include imprisonment, fines and denial of insurance benefits.
WEST VIRGINIA §33-41-3	All insurance applications and claim forms: Any person who knowingly presents a false or fraudulent claim for payment of a loss or benefit or knowingly presents false information in an application for insurance is guilty of a crime and may be subject to fines and confinement in prison.