



Management Liability Solution Renewal Proposal Form

NOTICE: The liability coverage parts A-C of this policy apply only to claims first made during the policy period. No coverage exists for claims made after the end of the policy period unless, and to the extent that, the discovery period applies. Costs of defense under liability coverage parts A-C are subject to any applicable retention. Costs of defense incurred under liability coverage parts A-C in excess of any applicable retention shall reduce the limit of liability available to pay all other loss including settlements and judgments.

Name of Company: _____

Street Address: _____

City: _____ State: _____ Zip Code _____

Company Information

1. Please indicate the following as it relates to the Company's and any Additional Entities' most recent fiscal year end:

Total Assets: \$ _____ Revenues: \$ _____

Net Income: \$ _____

2. This year: Number of: Full-Time Employees: _____ Part-Time Employees: _____ Independent Contractors: _____

3. During the past 12 months, has there been a change in ownership percentages of Directors and Officers and/or Non-director and Non-officer shareholders Yes No

If Yes, please complete the following table for shareholders that own 5% or more of the Company's voting shares.

Name of Shareholder	% of voting shares owned on a fully diluted basis	Board Representation 'Yes' or 'No'

4. Is coverage being requested for any Subsidiaries in which the Company owns 50% or less and that are not covered under the current policy? Yes No

If Yes to the above, please answer the following:

Are all of the Subsidiaries related to the Company through common majority ownership? Yes No

If Yes to 4 above, for each Additional Entity, please complete the following table (or provide as an attachment) to identify shareholders with greater than 10% ownership and/or voting interest in such entity.

Name of Additional Entity	% of voting stock owned by the Company

Any reference to "Subsidiaries" in this Proposal Form shall also include any Additional Entity identified above or by attachment to this Proposal Form.

Company Information Continued

Yes No

5. Within the last 12 months, has the Company or any of its Subsidiaries been involved in, or are they considering being involved in any of the following within the next 12 months?

a. Merger, acquisition, or divestment activity

Yes No

b. Bankruptcy proceeding or financial restructuring

Yes No

If Yes to a or b, please provide details: _____

6. Have there been any changes in senior management (Chairman, President, Chief Executive Officer, Chief Financial Officer, etc.) in the last two years due to reasons other than death or retirement at the normal retirement age?

Yes No

If Yes, please provide details: _____

Supplemental Questions if requesting higher limits or requesting coverages not currently purchased.

7. As respects any Liability Coverage Parts for which the Company does not currently purchase, or any larger limits of liability that the Company is purchasing, is the undersigned or any Director or Officer proposed for this insurance aware of any fact, circumstance or situation involving the Company, its Subsidiaries, the Directors or Officers of the Company, its Subsidiaries, or the Plans of the Company or its Subsidiaries which they have reason to believe might result in any future Claim under the Policy to which this Proposal Form will be attached?

Yes No

If Yes, please provide details: _____

AS RESPECTS ANY LIABILITY COVERAGE PARTS FOR WHICH THE COMPANY DOES NOT CURRENTLY PURCHASE OR ANY LARGER LIMITS OF LIABILITY THAT THE COMPANY IS PURCHASING, IT IS AGREED THAT IF KNOWLEDGE OF ANY SUCH FACT, CIRCUMSTANCE OR SITUATION EXISTS, ANY CLAIM SUBSEQUENTLY ARISING THEREFROM SHALL BE EXCLUDED FROM COVERAGE.

Note: If the Company meets any of the below criteria, please submit year-end audited financial statements and the most recent interim financial statements:

- More than 250 employees
- Two years or less of operations
- Operating at a net loss

Directors And Officers Coverage Section - Only complete if D&O coverage is requested.

1. During the last 12 months, has the Company or any of its Subsidiaries been involved in, or is the Company or any of its Subsidiaries presently involved or considering being involved in, raising funds through a public or private offering of securities, including through crowdfunding within the next 12 months:

Yes No

If Yes, please provide details and current status: _____

Supplemental Questions 2 through 4 Required ONLY for Insurance Companies and Financial Institutions.

2. During the last 12 months, have any Directors or Officers been alerted to any of the following conditions:

Yes No

a. Problems involving extensions of credit to Directors, Officers, or corporations controlled thereby?

Yes No

b. Significant violations of laws or regulations?

Yes No

c. Conflict of interest transactions?

Yes No

If Yes, please provide details and current status: _____

Supplemental Questions 2 through 4 Continued

Yes No

3. Have all criticisms noted in the last regulatory examination been reviewed and appropriate corrective steps taken by the Board of Directors?

If No, please provide details: _____

4. During the last 12 months, has the Company or any of its Subsidiaries been the subject of any Order to Cease and Desist from any regulatory agency, or otherwise entered into any other type of written agreement with any regulatory agency concerning the operation of the Company or any of its Subsidiaries

If Yes, please provide details and current status: _____

Employment Practices Coverage Section – Only complete if Employment coverage is requested.

The HR representative to receive complimentary loss control services provided with this Policy:

Name: _____ Phone _____

Title: _____ Email _____

1. Number of employees who have left the Company in the past 12 months: Voluntary: _____ Involuntary*: _____

*Please list in a separate attachment all Officers that were involuntarily terminated in the past 12 months.

2. Within the last 12 months has the Company or any of its Subsidiaries completed, or is the Company or any of its Subsidiaries considering, any layoffs or early retirement programs including those resulting from reorganizations or facility closings within the next 12 months?

Yes No

If Yes, please answer a-d.

a. When did or when will the layoffs occur? _____

b. How many employees were or will be laid off? _____

c. Were severance packages provided or will severance packages be offered to the affected employee(s)?

d. Were releases from liability obtained or will they be obtained from the affected employee(s)?

Fiduciary Coverage Section – Only complete if Fiduciary coverage is requested.

Yes No

1. Provide the total assets for benefit plans maintained by the Company and its Subsidiaries: \$ _____

2. Have there been any new plans created in the last 12 months?

If Yes, please provide details: _____

3. Has the Company or its Subsidiaries consolidated, merged, frozen, or terminated any plans or made any amendment to any plan that has resulted in a reduction in benefits to participants within the past 12 months or plan to within the next 12 months?

If Yes, please provide details: _____

Supplemental Questions 4 and 5 Required ONLY for Companies with Defined Benefit Plans.

Yes No

4. For any defined benefit plan, is there any investment of plan assets in more than 10% of any corporation or partnership?

If Yes, please provide details: _____

5. For any defined benefit plan, is the funded percentage (as shown on Schedule SB of the 5500) below 80%?

If Yes, please provide the funded %: _____

Crime Coverage Section – Only complete if Crime coverage is requested.

Yes No

1. In the last 12 months, has the process for countersigning checks changed, or is it expected to change in the next 12 months?

If No, please provide details: _____

Fraud Warnings

Fraud Warning: Any person who knowingly and with intent to defraud any insurance company or other person files an application for insurance or statement of claim containing any materially false information or conceals, for the purpose of misleading, information concerning any fact material thereto commits a fraudulent insurance act, which is a crime and subjects such person to criminal and civil penalties.

Alabama, Arkansas, Louisiana, Rhode Island And West Virginia Fraud Warning: Any person who knowingly presents a false or fraudulent claim for payment of a loss or benefit or knowingly presents false information in an application for insurance is guilty of a crime and may be subject to fines and confinement in prison.

Colorado Fraud Warning: It is unlawful to knowingly provide false, incomplete, or misleading facts or information to an insurance company for the purpose of defrauding or attempting to defraud the company. Penalties may include imprisonment, fines, denial of insurance, and civil damages. Any insurance company or agent of an insurance company who knowingly provides false, incomplete, or misleading facts or information to a policyholder or claimant for the purpose of defrauding or attempting to defraud the policyholder or claimant with regard to a settlement or award payable from insurance proceeds shall be reported to the Colorado Division of Insurance within the Department of Regulatory Agencies.

D.C. Fraud Warning: It is a crime to provide false or misleading information to an insurer for the purpose of defrauding the insurer or any other person. Penalties include imprisonment and/or fines. In addition, an insurer may deny insurance benefits if false information materially related to a claim was provided by the applicant.

Florida Fraud Warning: Any person who knowingly and with intent to injure, defraud or deceive any insurer, files a statement of claim or an application containing any false, incomplete, or misleading information is guilty of a felony of the third degree.

Kentucky Fraud Warning: Any person who knowingly and with intent to defraud any insurance company or other person files an application for insurance containing any materially false information or conceals, for the purpose of misleading, information concerning any fact material thereto commits a fraudulent insurance act, which is a crime.

Maine Fraud Warning: It is a crime to knowingly provide false, incomplete or misleading information to an insurance company for the purpose of defrauding the company. Penalties may include imprisonment, fines or denial of insurance benefits.

Maryland Fraud Warning: Any person who knowingly or willfully presents a false or fraudulent claim for payment of a loss or benefit or who knowingly or willfully presents false information in an application for insurance is guilty of a crime and may be subject to fines and confinement in prison.

New Jersey Fraud Warning: Any person who includes any false or misleading information on an application for an insurance policy is subject to criminal and civil penalties.

New Mexico Fraud Warning: Any person who knowingly presents a false or fraudulent claim for payment of a loss or benefit or knowingly presents false information in an application for insurance is guilty of a crime and may be subject to civil fines and criminal penalties.

Ohio Fraud Warning: Any person who, with the intent to defraud or knowing that he is facilitating a fraud against an insurer, submits an application or files a claim containing a false or deceptive statement is guilty of insurance fraud.

Oklahoma Applicants: Warning: Any person who knowingly, and with intent to injure, defraud or deceive any insurer, makes any claim for the proceeds of an insurance policy containing any false, incomplete or misleading information is guilty of a felony.

Oregon Fraud Warning: Any person who knowingly and with intent to defraud any insurance company or other person files an application for insurance containing any materially false information or conceals, for the purpose of misleading, information concerning any fact material thereto may be guilty of a fraudulent insurance act, which may subject such person to prosecution for insurance fraud.

Fraud Warnings Continued

Pennsylvania Fraud Warning: Any person who knowingly and with intent to defraud any insurance company or other person files an application for insurance or statement of claim containing any materially false information or conceals for the purpose of misleading, information concerning any fact material thereto commits a fraudulent insurance act, which is a crime and subjects such person to criminal and civil penalties.

Tennessee Fraud Warning: It is a crime to knowingly provide false, incomplete or misleading information to an insurance company for the purpose of defrauding the company. Penalties include imprisonment, fines and denial of insurance benefits.

Virginia And Washington Fraud Warning: It is a crime to knowingly provide false, incomplete or misleading information to an insurance company for the purpose of defrauding the company. Penalties include imprisonment, fines and denial of insurance benefits.

New York Fraud Warning: Any person who knowingly and with intent to defraud any insurance company or other person files an application for insurance or statement of claim containing any materially false information, or conceals for the purpose of misleading, information concerning any fact material thereto, commits a fraudulent insurance act, which is a crime, and shall also be subject to a civil penalty not to exceed five thousand dollars (\$5,000.00) and the stated value of the claim for each such violation.

The undersigned Officer of the Company declares that to the best of his or her knowledge the statements set forth herein are true and correct and that reasonable efforts have been made to obtain sufficient information from each and every Director and Officer proposed for this insurance to facilitate the proper and accurate completion of this Application. The undersigned further agrees that if any significant adverse change in the condition of the applicant is discovered between the date of this Application and the effective date of the Policy, which would render this Application inaccurate or incomplete, notice of such change will be reported in writing to the Insurer immediately. The signing of this Application does not bind the undersigned to purchase the insurance.

With respect to any Liability Coverage Part, it is agreed by the Company and the Insured Persons that the particulars and statements contained in this Application and any information provided herewith (which shall be on file with the Insurer and be deemed attached hereto as if physically attached hereto) are the basis of this Policy and are to be considered as incorporated in and constituting a part of this Policy. It is further agreed by the Company and the Insured Persons that the statements in this Application or any information provided herewith are their representations, they are material and this Policy is issued in reliance upon the truth of such representations; provided, however, that except for material facts or circumstances known to the person who signed this Application, any misstatement or omission in this Application or information provided herewith in respect of a specific Wrongful Act by a particular Insured Person or their cognizance of any matter which they have reason to suppose might afford grounds for a future Liability Claim against them shall not be imputed to any other Insured Person for purposes of determining the validity of this Policy as to such other Insured Person.

Signature* of Chairman, President, CEO, COO, or CFO of the Company	Print Name	Date
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The President/CEO is designated as agent of the Company and all of the Insureds to receive any and all notices from the Insurer.

* If you are the authorized representative of the Company and are electronically submitting this proposal form to Great American Insurance Company, type your name in the "Print Name" box, enter the date, and apply your electronic signature to this form by checking the Electronic Signature box below. By doing so, you hereby consent and agree that your use of a key pad, mouse or other device to check the Electronic Signature box constitutes your signature, acceptance and agreement as if physically signed by you and has the same force and effect as a signature affixed by hand. Only the Chairman, President, CEO, COO, CFO or functional equivalent of the Company are considered authorized representatives for the purposes of signing this form.

Authorized Representative's Electronic Signature and Acceptance

Agency Name _____

Agency Taxpayer ID _____

Agency Address (include street, city, state and ZIP) _____

Broker Name _____ Broker License Number and State _____

Broker Signature _____

NOTE: This Proposal Form, including any material submitted herewith, shall be treated in strictest confidence.

Please submit this Application including appropriate documentation to:
Great American Insurance Companies, Executive Liability Division, P.O. Box 66943, Chicago, IL 60666