Great American's Fidelity / Crime Division can assist you in realizing the many risks inherent in the operations of your financial institution clients.

As Your Partner in Crime<sup>SM</sup> for 25 years, we provide various financial institution policy forms pertaining to your business. For further information, please contact your local underwriter.

Private Equity Firm – Form 14

The Insured sustained a loss due to reasonable reliance upon written original promissory notes and mortgages which had been forged and fabricated.

- 2 Mortgage Banker Form 15
  In connection with a loan
  application, a mortgage banker
  was duped into relying upon
  defective collateral.
- 3 Investment Advisor Form 14
  A bad actor hacked into the
  Insured's computer systems. He
  was able to alter login credentials
  and made unauthorized transfers
  from a customer's account.
- 4 Hedge Fund Form 14
  Property was transferred improperly from fraudulent entry of electronic data due to a system intrusion into the computer system owned and operated by the Insured.





5 Stockbroker – Form 14

An Insured's registered representative diverted clients' funds to products he controlled rather than to products he was authorized to sell. He then altered the client's monthly statements to prevent discovery of the loss.

6 Bank – *Form 24* 

A bank teller discovered an overnight robbery. The thieves gained access to the vault by climbing down an elevator shaft and drilled holes through walls to enter the adjacent vault.

Insurance Company – Form 25

The Insured made claim payments that were sent via postal mail. An outsider intercepted outgoing checks and altered them. Loss was due to check forgery.

8 Finance Company – Form 15

An insured employee was a record keeper and stole half on the loan payments after setting up loans. He then pocketed and diverted money into his personal accounts.

These claims scenarios are provided to illustrate possible exposures faced by your clients. The facts of any situation which may actually arise, and the terms, conditions, exclusions, and limitations in any policy in effect at that time are unique. Thus, no representation is made that any specific insurance coverage applies to the above claims scenarios. Policies are underwritten by Great American Insurance Company, Great American Alliance Insurance Company and Great American Security Insurance Company, authorized insurers in all 50 states and the D.C.

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